

# **Bureaucratic Organization**

#### Reader's Guide

#### **Key Terms**

bureaucrat, embassy, government corporation, deregulate, procurement

#### **Find Out**

- What is the general organizational structure of the 14 cabinet level departments?
- How are independent government agencies different from regulatory commissions?

#### **Understanding Concepts**

**Public Policy** How does government bureaucracy serve the executive branch in carrying out the will of the people's representatives?

## **COVER STORY**

# Disaster Causes Tension

WASHINGTON, D.C., NOVEMBER 4, 1996

The May 11 crash of a ValuJet airliner has strained relations between two federal agencies responsible for air safety. The National Transportation Safety Board (NTSB) makes safety recommendations, and the Federal Aviation Agency (FAA) decides



**NTSB** gains influence

whether to implement them. Despite reports of safety violations after the crash, the FAA declared Valu-Jet to be a safe airline. This was a public relations disaster for the FAA, according to aviation experts. It also served to increase the NTSB's influence with Congress. "The FAA can no longer easily ignore the NTSB," observed one airline executive. undreds of agencies like the FAA help to make up the federal bureaucracy. Most of these departments and agencies are part of the executive branch that carries out the laws passed by Congress. The people who work for these organizations are called bureaucrats, or civil servants.

The federal bureaucracy is organized into departments, agencies, boards, commissions, corporations, and advisory committees. Most of these organizations are responsible to the president, although some of them report to Congress. Acts of Congress created almost all of them.

The Constitution provides indirectly for the bureaucracy. Article II, Section 2, states that:

He [the president] may require the Opinion, in writing, of the principal Officer in each of the executive Departments, upon any subject relating to the Duties of their respective Offices, ... \*\*\*

—The Constitution

Article II also gives the president the power to appoint the heads of those departments.

Thus, the Founders anticipated the need for creating federal agencies that would carry on the day-to-day business of government. At the same time they would probably be shocked by the size the federal bureaucracy has grown to today.

In the early years of the Republic, the federal bureaucracy was quite small. When Jefferson became president in 1801, the federal government employed only 2,120 people. These employees were mainly commissioners of Native American affairs, postmasters, customs collectors, tax collectors, marshalls, and clerks.

Today, nearly 3 million civilians work for the federal government. Federal agencies are located in more than 440,000 buildings scattered across the nation and around the world.

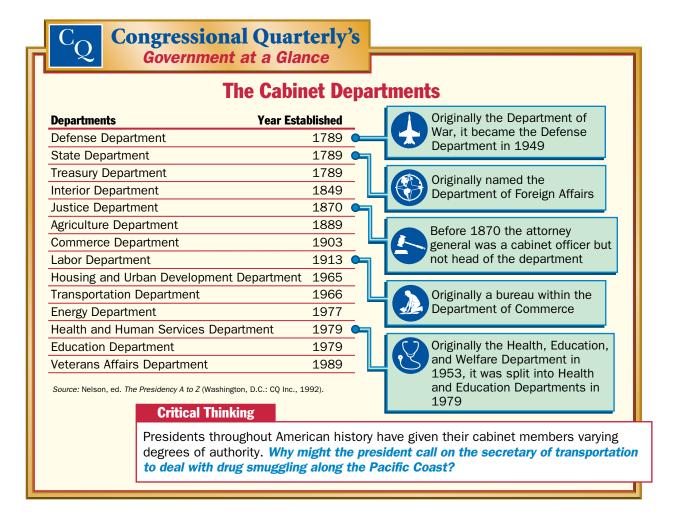


# **The Cabinet Departments**

The 14 cabinet departments are a major part of the federal bureaucracy. One of President Washington's first acts in 1789 was to ask Congress to create the Departments of Treasury, State, and War and the office of attorney general. Since 1789, 10 additional departments have been created. A secretary who is a member of the president's cabinet heads each of the departments in the executive branch. Departments usually have a second in command, called the deputy secretary or under secretary. In addition, departments have assistant secretaries. The president appoints all these officials.

The next level under these top officials includes the directors of the major units that make up the cabinet department, along with their assistants. These units have various names, including bureau, agency, office, administration, or division. The top officials in each department—the secretaries, agency directors, deputy directors, and their assistants—set overall department policy. These top leaders rely on ideas and information from career officials who are specialists and business managers in the department. Often, these career workers, who frequently have many years of experience, do the research to provide the alternatives from which the top leaders choose.

**Department of State** The secretary of state is one of the president's most trusted advisers. The **Department of State** is responsible for the overall foreign policy of the United States. The agency also protects the rights of United States citizens traveling in foreign countries. It staffs embassies, or offices of ambassadors in foreign countries, analyzes data about American interests in other countries, and speaks for the United States in the United Nations.





**Department of the Treasury** Managing the monetary resources of the United States is the primary responsibility of the **Department of the Treasury.** The Bureau of the Mint manufactures coins. The Bureau of Printing and Engraving produces paper money. The Treasury Department also oversees a variety of other duties. One branch the Internal Revenue Service—collects taxes. The United States Secret Service provides protection for the president, vice president, and other officials. The Bureau of Alcohol, Tobacco, and Firearms administers explosives and firearm laws and regulates the production and distribution of alcohol and tobacco.

**Department of the Interior** To protect public lands and natural resources throughout the nation and to oversee relations with Native Americans, Congress established the Department of the Interior in 1849. The Bureau of Mines helps oversee the mining of natural resources. The National Park Service manages national monuments, historic sites, recreational areas, and national parks.

**Department of Agriculture** Created to help farmers improve their incomes and expand their markets, the Department of Agriculture develops conservation programs and provides financial credit to farmers. It also safeguards the nation's food supply.

**Department of Justice** Congress established the office of attorney general in 1789 to oversee the nation's legal affairs. The Department of Justice was created in 1870. Among its well-known agencies are the Federal Bureau of Investigation (FBI), the Immigration and Naturalization Service (INS), and the Drug Enforcement Administration (DEA). The Antitrust Division of the Department of Justice enforces antitrust laws. The Civil Rights Division helps enforce civil rights legislation.

**Department of Commerce** To promote and protect the industrial and commercial segments of the American economy, the Department of Commerce was founded in 1903. Three agencies of this department carry out constitutional directives. The Bureau of the Census counts the people every 10 years. Census figures are used to redraw



The Bobby Kennedy Law A 1967 law prevents a president from giving a government job to a family member. The act is called the "Bobby Kennedy law" because it is intended to prevent a repetition of the situation in 1961 when President John Kennedy appointed his brother Robert attorney gener-

al of the United States. The law did not prohibit Bill **Clinton from appointing** his wife, Hillary Rodham Clinton, as head of his health-care reform task force in 1993. There was no

challenge to the appointment, presumably because it was unpaid and advisory only.

congressional district boundaries. The Patent and Trademark Office issues patents for new inventions and registers trademarks. The National Institute of Standards and Technology provides uniform standards for weights and measurements.

**Department of Labor** Congress created the Department of Labor in 1913. Charged with protecting American workers, the department ensures safe working conditions, safeguards a minimum wage, and protects pension rights. The Bureau of Labor Statistics analyzes data on employment, wages, and compensation. The Office of the American Workplace encourages cooperation between labor and management.

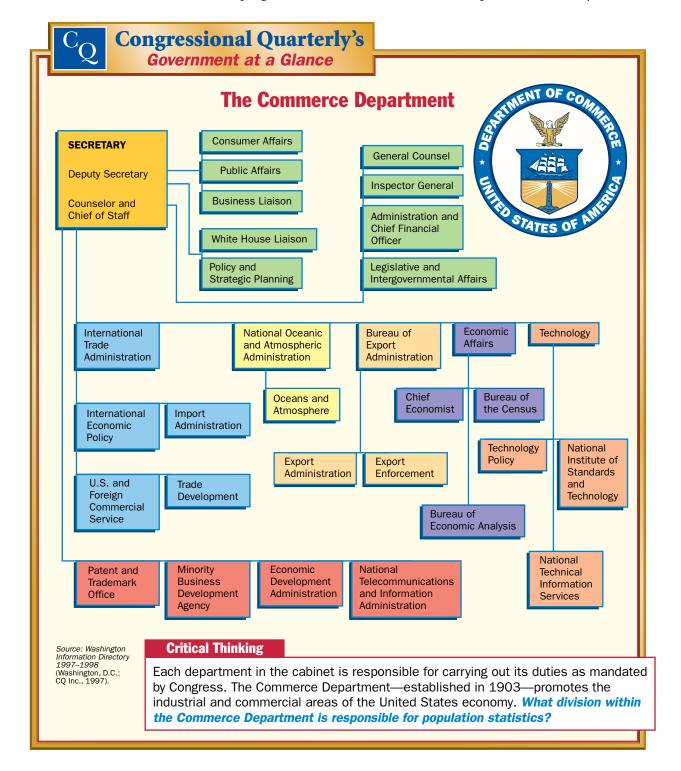
**Department of Defense** First called the Department of War and then the United States Military Establishment until 1949, the Department of Defense protects the security of the United States. Through the Joint Chiefs of Staff—the leaders of the Army, Navy, Marines, and Air Force—it oversees the armed forces. With the end of the Cold War, the government began to cut back on the size of this largest cabinet department.



#### **Department of Health and Human Services**

Directing programs concerned with the health and social services needs of the American people is the responsibility of this department. It also manages the federal Medicare and Medicaid programs and

helps senior citizens and less fortunate Americans through the Social Security Administration. Perhaps the most visible part of the Department of Health and Human Services—particularly since the outbreak of the AIDS epidemic in the early 1980s—



has been the Public Health Service. This important government agency helps implement a national health policy, conducts medical research, and ensures the safety of food and drugs. The Food and Drug Administration inspects food and drug processing plants and approves new drugs for treatment of diseases.

**Department of Housing and Urban Development** This department was created in 1965 to preserve the nation's communities and ensure Americans of equal housing opportunities. The Government National Mortgage Association helps make mortgage money available for people to buy homes.

**Department of Transportation** It is the responsibility of the Department of Transportation to regulate all aspects of American transportation needs, policy development, and planning. This includes regulating aviation, railroads, highways, and mass transit. The department also includes the United States Coast Guard, which assists with search and rescue operations, enforces maritime laws against smuggling, and ensures safety standards on commercial seagoing vessels.

**Department of Energy** An energy shortage led to the creation of this department in 1977. It plans energy policy and researches and develops energy technology. One of the most important parts of the department, the Federal Energy Regulatory Commission, sets the rates for interstate transmission of natural gas and electricity.

**Department of Education** An educated population is an essential feature of a democratic form of government. Without informed citizens who are capable of participating in government, a democracy cannot survive. In 1979 Congress created the Department of Education to coordinate federal assistance programs for public and private schools. Today the department oversees programs to help students with limited English proficiency as well as programs for physically challenged students.

**Department of Veterans Affairs** Founded in 1989, this department was formerly known as the Veterans Administration. It administers several hospitals as well as educational and other programs designed to benefit veterans and their families.



**Federal Assistance** President Jimmy Carter set up the Department of Education in 1979 to provide advice and assistance to states and school districts. The department helps schools meet the special needs of students who are disadvantaged or have disabilities. What might be a national goal of the Department of Education?

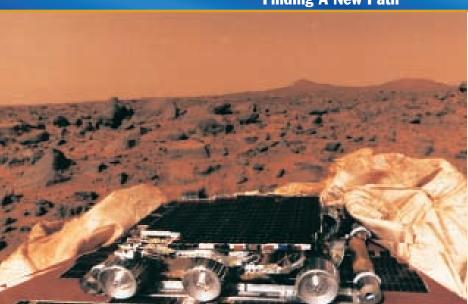
# **Independent Agencies**

The federal bureaucracy also contains more than 100 independent agencies, boards, and commissions that are not part of any cabinet department. The president appoints the heads of these organizations.

A few of these agencies are almost as large and well known as cabinet departments. Two examples are the Environmental Protection Agency (EPA) and the National Aeronautics and Space Administration (NASA). Other agencies such as the Civil Rights Commission and the Small Business Administration are much smaller. Most independent agencies have few employees, small budgets, and attract little public attention. One example of this type of agency is the American Battle Monuments Commission.



#### **Finding A New Path**



# A Bureaucratic Celebrity

NASA, an independent agency, gained further recognition by inaugurating a new era in space exploration with the Mars Pathfinder. The Pathfinder spacecraft landed on Mars on July 4, 1997, and became the first mobile explorer of another planet. It also sent back to Earth the first high-resolution color images of Mars. Why are achievements such as NASA's mission to Mars important to the continued existence of federal agencies?

**Assisting the Executive Branch** Some independent agencies perform services for the executive branch. The General Services Administration (GSA) and the Central Intelligence Agency (CIA) are two examples. The General Services Administration is responsible for constructing and maintaining all government buildings. It also supplies equipment for federal offices. The National Archives and Records Administration maintains government records and publishes all rules applying to various federal agencies.

The Central Intelligence Agency provides a very different kind of service. The CIA gathers information about what is going on in other countries, evaluates it, and passes it on to the president and other foreign-policy decision makers. The CIA uses its own secret agents, paid informers, foreign news sources, and friendly governments to collect such information.

**Government Corporations** Some independent agencies, such as the Small Business Administration, directly serve the public. Many of the major agencies are government corporations, or businesses the federal government runs.

Today, the executive branch has at least 60 government corporations. The Tennessee Valley Authority (TVA) is one. The TVA has built dams and supplies electric power for an eight-state area.

The Federal Deposit Insurance Corporation (FDIC) is also a government corporation. It insures bank accounts up to a certain amount. If a bank fails, the FDIC takes it over and repays the depositors.

The best known of the government corporations is the U.S. Postal Service (USPS). Originally an executive department called the Post Office Department, the USPS became a government corporation in 1970. As an executive department, the post office consistently lost money. Since becoming a corporation, the USPS has done a better job of balancing its budget. This is, in part, because Congress passed legislation giving the USPS "the exclusive right, with certain limited exceptions, to carry letters for others." Only the USPS may deliver first-class mail.

Government corporations are organized somewhat like private businesses. Each has a board of directors and a general manager who directs the day-to-day operations. Government corporations are supposed to be more flexible than regular government agencies. They are more likely to take risks and to find innovative solutions to the challenges they are confronting. Most of the corporations earn money that is put back into the "business." Unlike private businesses, however, money from Congress—not funds from private investors—supports government corporations.



# **Regulatory Commissions**

Regulatory commissions occupy a special place in the federal bureaucracy. They are independent of all three branches of the national government. To keep the regulatory commissions impartial, Congress has been careful to protect them from political pressure. Each commission has from 5 to 11 commissioners whom the president appoints with Senate consent. The terms of office of these board members are long—in some cases, as long as 14 years—and the starting dates of the terms are staggered. Unlike other bureaucrats, these commissioners do not report to the president, nor can the president fire them.

**Purpose of the Commissions** The independent regulatory commissions were created to make rules for large industries and businesses that affect the interests of the public. Commissions also regulate the conduct of these businesses and industries. The regulatory agencies decide such questions as who will receive a license to operate a radio station or to build a natural gas pipeline to serve a large city. The commissions may also act as courts. They may investigate a business for breaking one of the commission's rules. The

commission may hold hearings, collect evidence, and set penalties for any business that violates the rules.

**Some Problems** Decisions of regulatory commissions can involve millions of dollars and greatly affect businesses. As a result, these agencies are often under intense pressure from lobbyists. Lawyers for industries that the commissions regulate have sometimes tried to go in the "back door" to argue their clients' cases in private with agency officials.

Critics of the commissions also charge that the commissions and the industries they are supposed to regulate sometimes have a "revolving door" relationship. Commissioners often are former executives in a regulated industry and sometimes leave the commission for high-paying jobs in the same industry. As a result, critics charge, some commissioners have seemed more interested in protecting regulated industries than in making sure that they serve the public interest.

Others point out that most agencies have had a good record of protecting the public interest. The Securities and Exchange Commission, for example, has protected investors in the stock market from fraud.

# GOVERNMENT and You

# **Social Security**

f you have a part-time job, 6.2 percent of your pay is probably being deducted for Social Security taxes. The programs funded by such deductions provide important benefits for workers and their families.

Eligibility for Social Security benefits depends on how much you earn and how long you contribute to the Social Security system. For example, to receive monthly payments when you retire, you must accumulate at least 40 work credits. You can receive up to 4 credits a year, based on your earnings. Currently, Social Security retirement benefits provide about 42 percent of a worker's salary.

In addition, statistics show that you have about a 3-in-10 chance of becoming unable to

work before you reach age 65. If you are disabled for a year or more, Social Security will pay monthly benefits to help make up for your loss of income. In many cases, minor children of disabled, deceased or retired workers are also eligible for benefits.



Contributing taxes to Social Security



**An Informed Citizen** How might the fact that people are living much longer after retirement affect Social Security benefits in the future?



# The Government of the United States









#### **LEGISLATIVE BRANCH**

#### **Congress**

- Senate
- House
- Architect of the Capitol
- U.S. Botanic Garden
- General Accounting Office
- Government Printing
- Library of Congress
- Congressional Budget

#### **EXECUTIVE BRANCH**

#### **President**

- Executive Office of the President
- White House Office
- Management and Budget U.S. Trade Representative
- Council of Economic Advisers
- National Drug Control Policy
- Environmental Policy
- Domestic Policy Council
- National Economic Council

- Vice President
- Council on
- Environmental Quality National Security Council • Science and Technology
  - Administration
  - National Science and Technology Council

#### JUDICIAL BRANCH

#### **Supreme Court**

- U.S. Courts of Appeals
- U.S. District Courts
- U.S. Court of International Trade
- Territorial Courts
- U.S. Court of Appeals for the Armed Forces
- · U.S. Court of Veterans Appeals
- · Administrative Office of the U.S. Courts
- U.S. Sentencing Commission
- U.S. Court of Federal Claims
- U.S. Tax Court
- Federal Judicial Center

#### THE CABINET

- Agriculture
- Commerce
- Defense
- Education
- Energy
- Health and Human Services
- Housing and Urban Development
- Interior
- Justice
- Labor State
- Transportation
- Treasury
- Veterans Affairs

#### SELECTED INDEPENDENT ESTABLISHMENTS AND GOVERNMENT CORPORATIONS

- African Development Foundation
- Central Intelligence Agency
- Commission on Civil Rights
- Consumer Product Safety Commission
- Environmental Protection
- Equal Employment Opportunity Commission
- Export-Import Bank of
- Farm Credit Administration
- **Federal Communications**
- Commission Federal Election Commission

- Federal Emergency Management Agency
- Federal Housing Finance
- Federal Maritime Commission
- Federal Reserve System
- Federal Trade Commission
- National Aeronautics and Space Administration
- · National Foundation on the Arts and the Humanities
- National Labor Relations Board
- National Science Foundation

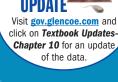
- Nuclear Regulatory Commission
- Office of Government
- Office of Personnel Management
- Office of Special Counsel
- Peace Corps
- Securities and Exchange Commission
- Selective Service System
- Small Business Administration
- Social Security Administration

**CLICK HERE** 

#### **Critical Thinking**

Source: Washington Information Directory 1997–1998 (Washington, D.C.: CQ Inc., 1997).

The lower half of the chart represents the federal bureaucracy, one of the most powerful forces in the government. Why do you think it is sometimes called the "fourth branch" of the government?





**Deregulation** In a 1976 campaign speech, presidential candidate Jimmy Carter called for a reduction in the number of federal agencies. He cited the increasing difficulty of tracking the effectiveness of existing programs in an overregulated society. According to Carter:

We need increased program evaluation. Many programs fail to define with any specificity what they intend to accomplish. Without that specification, evaluation by objective is impossible. . . . ,

—Jimmy Carter, 1976

In recent years Congress has responded to complaints of overregulation by taking steps to deregulate, or reduce the powers of regulatory agencies. In 1978, for example, Congress ordered the Civil Aeronautics Board (CAB) to simplify its procedures and cut back on regulation of the airlines. Congress also set a deadline that specified that the CAB was to go out of business in the year 1985.

Deregulation was a major issue in the 1980s and 1990s. As Republicans pushed for regulatory reform, President Clinton proposed to "reinvent government." He signed an executive order that required federal regulations to avoid imposing undue economic burdens on businesses without assessing their costs and benefits. In addition, Congress passed deregulation laws dealing with paperwork reduction, risk assessment, and private-property rights.

**Cutting the Federal Workforce** One way to cut costs was to reduce the number of workers in federal agencies. After a study by Vice President Al Gore, the administration proposed a reduction of the federal workforce by 252,000 in 6 years. Congress passed a bill requiring the reductions and provided cash incentives for workers to resign. It wrote into law a bill that would reduce the size of the Department of Agriculture, cutting 7,500 jobs by 1999.

In 1994 Congress eliminated much of the federal regulation of the trucking industry and cut back the role of the Interstate Commerce Commission (ICC). Then, before the end of 1995, it passed a bill eliminating the ICC altogether. Some employees were transferred to the Transportation Department. The ICC was the oldest federal regulatory agency, founded in 1887.

**Promoting Competition** Both the president and Congress seemed to agree on the need to promote competition in traditionally regulated industries. Sweeping legislation rewrote the rules for telecommunications in 1996 as the president and Congress worked together in an effort to make the regulatory agencies themselves more efficient. Congress passed legislation streamlining regulation of the securities industry. Following a 1994 study, Congress also streamlined federal purchasing by repealing 300 laws that had made procurement, or purchasing of materials, complicated.

#### Section 1 Assessment

#### **Checking for Understanding**

agencies are

- 1. Main Idea Using a Venn Diagram like the one to the right, show regulatory independent how regulatory both commissions commissions commissions and independent
- alike and different. 2. **Define** bureaucrat, embassy, government corporation, deregulate, procurement.
- 3. Identify Department of State, Department of the Treasury.
- 4. How are cabinet departments organized?

#### **Critical Thinking**

5. Making Inferences Why is it important that regulatory commissions be free from political pressures?



Public Policy Imagine that you are on a presidential commission looking into the establishment of a new executive department. Decide on an important issue facing the country today. Think of a new executive department to deal with this issue. Present your suggestion, with reasons, as an oral presentation to the commission.



# The Civil Service System

#### Reader's Guide

#### **Key Terms**

spoils system, civil service system

#### **Find Out**

- How did the civil service system attempt to reform the spoils system?
- What is the difference between a civil servant and a political appointee?

#### **Understanding Concepts**

**Civic Participation** How does the civil service system provide access to government jobs?

## COVER STORY

# Mail Scandal Spurs Reform

WASHINGTON, D.C., 1881

President James
Garfield has released the results of
an investigation into
allegations against
western mail carriers. The New York
Times had charged
the carriers with
billing the government unjustified



19th-century mail carrier

thousands of dollars for mail delivery. The mail carriers claim that delivering to remote areas is very expensive. However, the investigation verified the *Times* claim that many of these highly paid carriers are friends of government officials, and that some deliver mail to places on their routes only three times a year. These revelations have outraged many people and strengthened calls for civil service reform.

any people think of a federal bureaucrat as a pencil pusher shuffling papers in Washington, D.C. This image, however, is not accurate for two reasons. First, only 11 percent of all federal government employees work in Washington, D.C. The rest of them work in regional and local offices scattered across the United States and the world. Second, FBI agents, forest rangers, and air-traffic controllers are as much a part of the federal bureaucracy as are secretaries and file clerks. Their activities have little to do with bureaucratic paperwork.

Federal government employees play a vital role in assuring the smooth functioning of the United States government. President Eisenhower addressed this role when he said:

The government of the United States has become too big, too complex, and too pervasive in its influence on all our lives for one individual to pretend to direct the details of its important and critical programming. Competent assistants are mandatory.

—Dwight D. Eisenhower

Who are the people who work for the many departments and agencies that make up the federal bureaucracy? The typical man or woman in the federal service is more than 40 years old and has worked for the government for about 15 years. The majority of federal civilian employees earn between \$25,000 and \$50,000 per year.

About 23 percent of federal workers are members of minority groups, compared with about 14 percent in the private workforce. Women make up about 48 percent of federal workers, roughly the same percentage of women as in the total labor force. A recent survey found that 30 percent of all federal employees had family members who also worked for the government.



Federal workers hold a great variety of jobs. About half of the federal employees are administrative and clerical workers. The government also employs doctors, veterinarians, lawyers, cartographers, scientists, engineers, accountants, and many other professionals.

# **Origins**

Today almost all federal jobs are filled through the competitive civil service system. This system, however, was not in place when our government was established.

#### The **Spoils System**

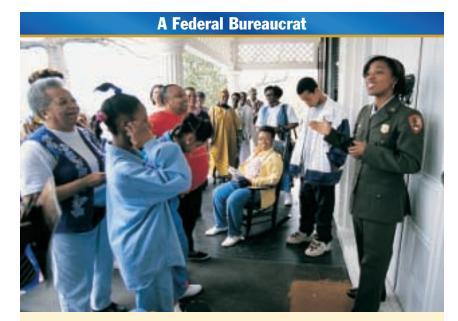
George Washington declared that he appointed government officials according to "fitness of character." At the

same time, however, he did favor members of the Federalist Party.

When Thomas Jefferson entered the White House, he found most federal workers opposed him and his political ideas. Consequently, Jefferson fired hundreds of workers who were Federalists. He replaced these workers with people from his own political party, the Democratic-Republican Party.

By the time Andrew Jackson became president in 1829, the federal government had begun to grow. Jackson fired about 1,000 federal workers and gave their jobs to his own political supporters. Jackson defended his actions by arguing that it was more democratic to have rotation in office. Long service in the same jobs by any group of workers, he claimed, would only promote tyranny.

A New York senator at the time put it another way. He defended Jackson's actions by stating, "To the victor belong the spoils." The spoils system came to be the phrase that was used for Jackson's method of appointing federal workers. Today, the term spoils system describes the practice of victorious politicians rewarding their followers with government jobs.



**Not Your Typical Office** This National Park Service ranger is actually a federal bureaucrat. A ranger's office may be a national park, a national monument, or a national historic site. Park rangers patrol the parklands to protect them from damage and help visitors. What other bureaucratic jobs besides park rangers offer people opportunities to work outside the nation's capital?

For the next 50 years, national, state, and local politicians used the spoils system to fill bureaucratic positions. Political supporters of candidates expected to be rewarded with jobs if their candidate won. As the federal government grew larger, the spoils system flourished.

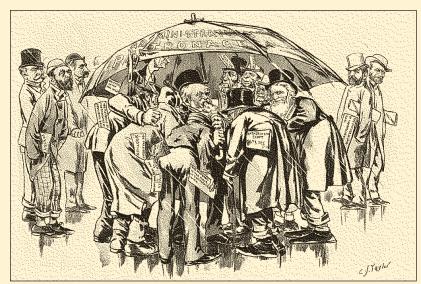
**Calls for Reform** The spoils system fostered inefficiency and corruption. Inefficiency grew because, as government became more complex, many jobs required expert staff members. Yet most federal workers were not experts in their jobs. Their special talents lay in working in election campaigns to secure victory for their candidates.

Corruption developed as people used their jobs for personal gain. Government employees did special favors for interest groups in return for political support for their candidates. Jobs were often bought and sold. People made large profits from government contracts. Bureaucrats regularly gave jobs to their friends rather than the lowest bidder.

In the 1850s groups of citizens began to call for reforms. Influential newspapers and magazines pointed out the problems with the spoils system.



#### **A System of Corruption**



"PUBLIC OFFICE IS A 'FAMILY SNAP'."

#### **Patronage System**

Politicians often bestowed federal jobs on friends and family members, as is shown in this 1800s cartoon. Many of these people proved ill-equipped for their jobs and were mainly interested in making themselves rich. What does the umbrella in the cartoon symbolize?

President Grant, whose own administration was filled with corruption, persuaded Congress in 1871 to set up the first Civil Service Commission. By 1875, however, reform efforts faltered as Congress failed to appropriate money for the new commission.

It took a tragedy to restart the reform effort. In 1881 President James A. Garfield ignored Charles Guiteau's requests for a job in the diplomatic service. Infuriated at not being appointed, Guiteau shot President Garfield in the back at a Washington railway station on July 2, 1881. Garfield died 80 days later.

**The Pendleton Act** The public was outraged. Chester A. Arthur, the new president, pushed hard for reform. In 1883 Congress passed the **Pendleton Act**, creating the present federal civil service system. The **civil service system** is the principle and practice of government employment on the basis of open, competitive examinations and merit. The law set up the Civil Service Commission to administer examinations and supervise the operation of the new system.

The Civil Service Commission operated for 95 years. In 1979, two new agencies replaced it. The Office of Personnel Management handles recruitment, pay, retirement policy, and examinations for

federal workers. The Merit System Protection Board settles job disputes and investigates complaints from federal workers.

# **The Civil Service System Today**

Has the present civil service system created new problems while solving those problems linked with the spoils system?

**Getting a Job** Competition for federal jobs today is stiff. In recent years every job opening has had about 76 applicants. This competition will probably continue. While the federal bureaucracy is huge, the number of federal jobs has not changed much since 1950. Yet the number of people wanting federal jobs keeps on increasing.

The Office of Personnel Management, along with individual agencies, is responsible for filling federal jobs. Job notices are usually posted in post offices, newspapers, and Federal Job Information Centers located in many communities.

Most secretarial and clerical jobs require the applicant to take a written examination. For other jobs such as accountants, social workers, managers, and so on, applicants are evaluated on the basis of training and experience. Veterans are given special preference.



**Benefits and Problems** Government jobs are attractive because of the many benefits they offer. Salaries are competitive with those in private industry. Federal workers get from 13 to 26 days of paid vacation every year, depending on the length of their service. They have extensive health insur-

ance plans and 13 days of sick leave every year. They may retire at age 55. Government workers who retire after 30 years of service on the job get half pay for the rest of their lives.

Each government job is assigned a certain grade ranging from GS-I, the lowest level, to GS-18, the highest. All civil service workers have job security. They may be fired, but only for specific reasons and only after a very long, complex series of hearings. Many supervisors and top officials find it is easier to put up with an incompetent worker than fire one.

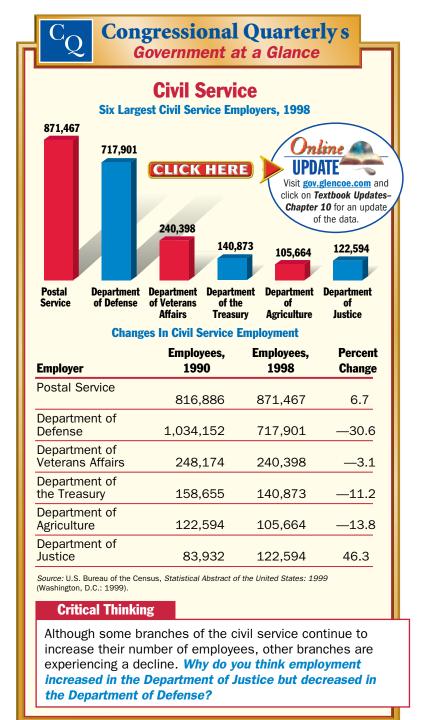
Thus, an ironic situation has developed. On the one hand, the civil service was designed to hire federal workers on merit and protect them from being fired for political reasons. In achieving this goal, however, the system also helps protect a small number of incompetent and inefficient employees.

The Hatch Act The Hatch Act limits how involved federal government employees can become in elections. In 1939 Congress passed this law-named after its chief sponsor, Senator Carl Hatch—to prevent a political party from using federal workers in election campaigns. If that happened, it would raise the dangerous possibility that workers' promotions and job security could depend on their support of candidates from the party in power.

The law has been controversial since its passage, and its constitutionality has been the subject of two Supreme Court decisions. Many federal workers dislike the Hatch

Act, arguing that the law violates freedom of speech. They also claim the act discourages political participation by people who may be wellinformed about political issues.

Supporters of the Hatch Act believe it is needed to keep the federal civil service politically neutral.



They claim the act protects workers from political pressure from superiors. They also argue it helps prevent employees from using their government positions to punish or influence people for political purposes.

In 1993 Congress revised the act to tighten onthe-job restrictions while loosening up off-duty limits on those employed by the federal government. As amended, the Hatch Act prohibits federal employees from engaging in political activities while on duty, including wearing a campaign button. While off duty, federal workers are allowed to hold office in a political party, participate in political campaigns and rallies, publicly endorse candidates, and raise political funds from within their own government agency's political action committee. However, they cannot run for partisan elective offices or solicit contributions from the general public.

# Federal Employees Tra esportes internacionales

Civil Servants at Work Beginning in the 1800s, civil service staffing has been largely based on merit. President Jimmy Carter urged Congress to pass the Civil Service Reform Act of 1978. This law reorganized and reformed the civil service. How do you think the qualifications for a civil servant of today might differ from those for a civil servant from the mid-1800s? Describe the differences.

# **Political Appointees** in Government

In each presidential election year, the House or Senate publishes a book known by Washington insiders as the plum book. The word plum stands for *political plum*—a job the new president may fill. The plum book lists all such jobs.

Upon taking office every president has the chance to fill about 2,200 top-level jobs in the federal bureaucracy. These jobs are outside the civil service system. Those who fill these jobs are sometimes called unclassified employees, as opposed to the classified employees hired by the civil service system. About 10 percent of executive branch jobs are appointed by the president. They include 14 cabinet secretaries, about 300 top-level

> bureau and agency heads, about 150 ambassadorships, and about 1,700 aide and assistant positions.

> Filling these jobs gives presidents an opportunity to place loyal supporters in key positions. These political appointees head agencies, offices, and bureaus and make key political decisions. They are expected to try to implement the president's decisions. Unlike career civil service workers, their employment usually ends when a new president is elected. Who are the people with these plum political jobs?

> **People at the Top** The people appointed to the non-civil service positions are first and foremost the president's political supporters. Most are well-educated. Nearly all are college graduates. The great majority have advanced degrees, mostly as lawyers. Others are successful leaders from businesses or professions.

> The people holding these types of positions usually are not experts in the work of the agency they head, though they may have served in government before. When the president leaves office, most of them return to other jobs outside the government.

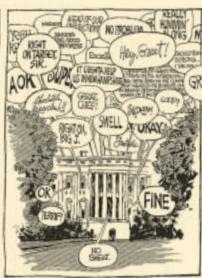


#### A Ballooning Federal Bureaucracy

#### **Paring Down Government**

Historically the federal bureaucracy has expanded to meet the increasing complexities and issues facing the government. How do you think the increasing size of the federal bureaucracy affects the president's control of the government?





**A Short Tenure** Many new people enter the bureaucracy by presidential appointment. However, these top political appointees hold their positions for only a few years. Because federal agencies are so large and complex, the short tenure makes it difficult for appointees to learn about their jobs. It can take the head of a large agency a year or more to learn all the issues, programs, procedures, and personalities involved in running the agency. One new political appointee discovered, "I was like a sea captain who finds

himself on the deck of a ship that he has never seen before. I did not know the mechanism of my ship, I did not know my officers—and I had no acquaintance with the crew."

The result of the short tenures of presidential appointees is that much of the real power over daily operations remains with the career civil service officials. Many of their day-to-day decisions do not make headlines or the nightly newscast, but they do shape the policy of the national government on key problems facing the nation.

#### Section 2 Assessment

#### **Checking for Understanding**

1. Main Idea Using a graphic organizer like the one below, note the advantages and disadvantages of the spoils system and the civil service system.

	Advantages	Disadvantages
spoils		
civil service		

- 2. Define spoils system, civil service system.
- 3. Identify Andrew Jackson, Pendleton Act, Hatch Act.
- 4. What two agencies now make up the former Civil Service Commission?

#### **Critical Thinking**

5. Synthesizing Information Why do you think political supporters are so eager to fill the plum jobs?



**Civic Participation** Imagine that you want to obtain employment in a civil service position. You need to evaluate the negative and positive aspects of such employment. Make a list of the pros and cons of a career in the civil service. Discuss your list with your classmates.





Civil Service Commission v. Letter Carriers, 1973

Do First Amendment guarantees of freedom of speech apply to

government workers? Should federal employees be free to participate in partisan political activities, or can Congress limit such participation? The case of Civil Service Commission v. Letter Carriers addressed this question.

A government employee



The Hatch Act, passed by Congress in 1939, limited federal employees' participation in campaigns or political party activities. The law said federal workers could not campaign for or against a political party, serve as an officer or delegate of a party, raise funds for a party, or run for political office. The purpose of the law was to protect democracy from the influence of partisan government employees. The act did allow workers to vote, join a political party, attend political rallies, and express their opinions.

In 1972, six federal employees, a union, and certain local Democratic and Republican Party committees claimed the act violated the First Amendment. A district court recognized a "well-established governmental interest in restricting political activities by federal employees" but ruled the law was indeed unconstitutional because it was too vague. The court held that free speech was so important that laws limiting speech had to be clear and precise. The Supreme Court ruled on the case in 1973.

#### The Constitutional Issue

There was no question that the Hatch Act put restrictions on free speech, but were such restrictions justified? Over the years the Court had developed the principle that the right to free speech was not absolute; Congress could put some limits on speech when it was necessary in order to protect the public good. Was this one of those times? The Court also asked whether the restrictions in the law were applied evenly and not aimed at particular political parties, groups, or points of view.

In its 1973 decision the Court referenced the 1947 case of *United Public Workers of America* v. *Mitchell.* In that case George Poole, a federal worker, lost his job for serving as a Democratic ward committeeperson and working as a poll watcher. The Court upheld the law's limitations on political activity, stating that Congress had the power to pass a law "to promote efficiency and integrity in the public service." Justice Hugo Black, in a strong dissent, stated that any law limiting speech must be "narrowly drawn to meet the evil aimed at."

# **Debating the Case**

#### **Questions to Consider**

- 1. What problem did the Hatch Act address?
- 2. Would allowing government employees to become involved in political campaigns and activities have positive or negative consequences?
- 3. Did the law's aim outweigh the right to freedom of speech for government employees?

#### **You Be the Judge**

Earlier the Supreme Court had found the Hatch Act to be constitutional. In your opinion, should that decision be overturned? Does the Hatch Act violate the First Amendment guarantee of free speech, or is it an acceptable limitation on speech?



# The Bureaucracy at Work

#### Reader's Guide

#### **Key Terms**

client group, liaison officer, injunction, iron triangle

#### Find Out

- What are the advantages and disadvantages of bureaucrats taking a greater role in policy making?
- Why do you think people sometimes get frustrated with government bureaucracy?

#### **Understanding Concepts**

**Separation of Powers** What role does the government bureaucracy play in setting policy?

#### **COVER STORY**

# Ban on Deadly Sweaters

WASHINGTON, D.C., DECEMBER 18, 1996

he Consumer Product Safety Commission (CPSC) today recalled some 32,000 highly flammable women's and girls' sweaters. The sweaters are made of very soft- textured chenille and come in a variety of styles and colors. They sell for up to \$130 in department stores and fashion boutiques across the nation. The CPSC sur-



**Shopping for chenille** 

veyed over 100 stores in major U.S. cities to test for the fire hazard. It found that the sweaters burned faster than newspaper. The CPSC urges that consumers immediately stop wearing any sweater they believe may be involved in this recall and return it to the retailer for a refund.

imply defined, public policy is whatever action the government chooses to take or not to take. The decision of Congress, for example, to provide federal funds for businesses run by disabled persons is a publicpolicy decision. The decision of the president to refuse to send military aid to a Latin American country is also public policy.

In theory, federal bureaucrats only carry out the policy decisions the president and Congress make. In practice, however, federal bureaucrats today also help make public policy. They often play key roles both in choosing goals the government will try to meet and in selecting programs to achieve those goals. By choosing what or what not to do in various situations, federal bureaucrats are setting policy. Should people who were not elected make policy? Administering federal programs seems to require that they do.

In recent years federal agencies have made key decisions about many policy issues. These include establishing safety requirements for nuclear power plants and deciding the extent to which the nation will depend on oil for energy. Federal agencies are also responsible for setting the eligibility requirements for federal health and welfare programs.

# **Influencing Policy**

Federal bureaucrats help make policy in several ways. The most important of these involves administering the hundreds of programs that have an impact on almost every aspect of national life. Administering these programs requires federal bureaucrats to write rules and regulations and set standards to implement laws Congress passes.

**Making Rules** When Congress passes a law, it cannot possibly spell out exactly what needs to be done to enforce it. The bureaucracy shapes what the law actually means.



The chief way federal agencies do this is by issuing rules and regulations designed to translate the law into action. One study has shown that, on an average, the bureaucracy formulates 20 rules or regulations to carry out each law.

In 1935, for example, Congress passed the Social Security Act establishing the Social Security system. The law makes it possible for disabled workers to receive payments from the government. What does the word disabled mean? Are workers disabled if they can work only part-time? Are they disabled if they can work, but not at the same job they once had?

The Social Security Administration in the Department of Health and Human Services has developed 14 pages of rules and regulations describing disability. These regulations even state what blindness means and specifically how it is to be measured. Without such rules, people who are not blind might receive benefits they do not deserve. At the same time, the rules help ensure that anyone who meets the established standard cannot unfairly be denied benefits. It is through thousands of decisions such as these that bureaucrats make federal government policy affecting disabled people.

Often, rule making by federal agencies is the same as lawmaking. For example, the Department of Housing and Urban Development (HUD) has created guidelines for building contractors to follow when hiring minority employees. These guidelines are used to decide whether contractors can work on federally funded construction projects. The HUD guidelines have the force of law. In order to work on the projects, contractors must follow them.

**Paperwork** For many years the number of rules and regulations federal agencies issued had been growing. Agency regulations totaled more than 50,000 printed pages a year. Along with more regulations came more paperwork. More than 2 billion forms were filled out and submitted to the federal government each year. The Small Business Administration estimated that companies spent at least 1 billion hours per year filling out forms—at a cost of about \$100 billion annually.

Congress in 1995 cleared a bill to reduce the amount of federal paperwork. The president signed the bill, requiring the Office of Management and Budget director to set a paperwork reduction goal of at least 10 percent in each of the first 2 years and 5 percent per year to fiscal 2001.

**Involvement in Lawmaking** The bureaucracy also shapes public policy by helping draft new bills for Congress, testifying about legislation, and providing lawmakers with technical information they may not otherwise have access to. In addition, lawmakers know that it can be difficult to pass major bills without the advice of the federal agencies most concerned with the bills' contents.

Often, the ideas for new laws come from within the bureaucracy itself. Lawyers within the Justice Department, for example, drafted the Safe Streets Act of 1968, which created a new division within the Justice Department—the Law Enforcement

> Assistance Administration—that existed into the 1980s. In the same way, bureaucrats in what is now the Department of Health and Human Services, along with some hospital administrators and labor unions, worked hard and over a long period of time for the law that set up the Medicare program.



Federal Policy Decisions made by federal bureaucrats affect the lives of all Americans every day. Why do you think the Department of Health and **Human Services has** 14 pages of rules describing disability?

**Settling Disputes** Some federal agencies shape public policy by deciding disputes over the application of a law or set of rules. When agencies do this, they act almost as courts. The regulatory commissions in particular make government policy in this way. They have the authority to hear and resolve disputes among parties that come under their regulatory power. The rulings of these agencies have the same legal status as those of courts.

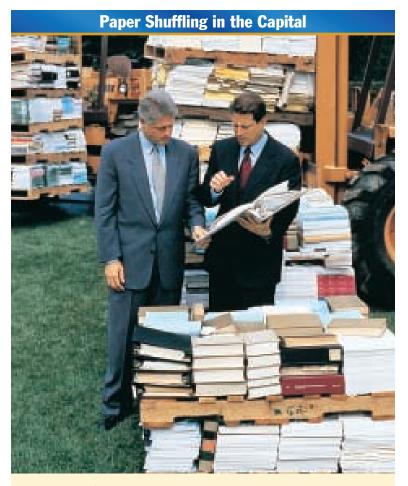
**Providing Advice** Bureaucrats also help shape public policy by providing top political decision makers with information and advice. Many career bureaucrats are experts in their areas. In addition, federal agencies collect information on an incredible variety of subjects. These range from the number of bald eagles left in the United States to the effects of secondhand smoking on newborn infants.

Federal agencies may use their information to support or oppose a particular public policy. Several years ago, for example, studies by the Public Health Service on the effects of smoking led to new laws and regulations designed to cut down on the use of cigarettes.

Thus, the federal bureaucracy does more today than simply fill in the details of laws. The bureaucracy plays a role in determining what those policies will be.

# Why the Bureaucracy **Makes Policy**

The federal bureaucracy has grown and assumed an important role in making public policy for five reasons: (1) growth of the nation, (2) international crises, (3) economic problems at home, (4) citizens' demands, and (5) the nature of bureaucracy itself.



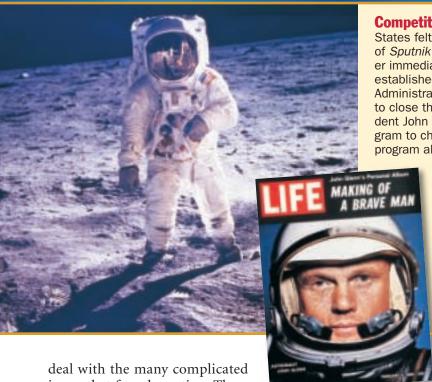
**Reducing Government Waste** Surrounded by bureaucratic paperwork, Vice President Al Gore presented his proposal "Reinventing Government," also called The National Performance Review, to President Clinton on the White House lawn. Gore attempted to streamline the federal bureaucracy and eliminate waste and duplication. Why do you think trimming the bureaucracy and its paperwork is such a difficult task?

National Growth and Technology The growth of the federal bureaucracy mirrors the growth of the United States. For almost 60 years, the 3 original cabinet departments and the attorney general's office handled the work of the executive branch. As the population grew, so did the government. The same number of officials who ran a country of 50 million people cannot govern a country of more than 250 million.

In addition, rapid advances in technology have made life much more complex. Today, a single president and 535 lawmakers in Congress cannot possibly have all the knowledge and time needed to



#### The Space Race Expands the Government



Competition and Expansion The United States felt threatened by the Soviets' launching of *Sputnik* in 1957. President Dwight Eisenhower immediately increased military funding and established the National Aeronautics and Space Administration (NASA), which worked feverishly to close the gap in the space race. Later, President John F. Kennedy authorized the Apollo program to challenge the Soviets in space. This program allowed Edwin E. Aldrin, Jr., (left) and

Neil Armstrong to be the first humans to walk on the moon. How did the launching of Sputnik increase the size of the United States government?

John Glenn, first American to orbit the earth

deal with the many complicated issues that face the nation. These issues include nuclear power, edu-

cation reform, space exploration, environmental protection, cancer research, health care, and many others.

Many other tasks such as regulating atomic energy or launching communications satellites also require some government involvement. The president and Congress establish bureaucracies and give them the money and authority to carry out their tasks.

International Crises Competition with the Soviet Union and international crises following World War II furthered the growth of the federal bureaucracy. During the Cold War from the mid-1940s to the 1980s, the United States and the Soviet Union never fought each other directly. Each country did, however, develop new weapons to defend itself, and both countries gave aid to other countries they wanted as allies. In 1949 the United States created the Department of Defense from the United States Military Establishment. The Defense Department soon grew to be the largest single department in the federal government. With the end of the Cold War, the department began to be reduced.

After the Soviet Union launched *Sputnik I*, the first space satellite, in 1957, the federal gov-

ernment started a large-scale program to improve science and mathematics instruction in the United States. The government established NASA in 1958 to direct the nation's space exploration program. As a further result of the Cold War, the government created several other new agencies. These included the Central Intelligence Agency, the Arms Control and Disarmament Agency, the United States Information Agency, and the Peace Corps.

The Korean War (from 1950 to 1953) and the Vietnam War (from 1964 to 1973) involved millions of American soldiers. Both wars led to the continued need for the Veterans Administration, which was elevated to the cabinet level in 1989, and renamed the Department of Veterans Affairs. It is one of the largest federal agencies.

**Economic Problems** President Franklin D. Roosevelt greatly expanded the size of the federal bureaucracy as he attempted to combat the Depression during the 1930s. By 1940 the number of federal workers had almost doubled. Many people accepted the idea that the federal government had a duty to assist the ill, the disabled, the



elderly, and the neglected. As a result, the federal government today spends billions of dollars each year on hundreds of assistance programs.

The Depression years also led to the idea that the federal government has a special responsibility both to stimulate the nation's economy and to regulate unfair business practices. Thus, agencies that help businesses, such as the Department of Commerce, have grown along with agencies that regulate businesses, such as the Federal Trade Commission.

**Citizen Demands** The bureaucracy has also grown in response to issues raised by various interest groups within the country. This is not a new phenomenon. Congress, for example, created the Departments of Agriculture (1862), Commerce (1903), and Labor (1913) in part to meet the increased demands of farmers, businesspeople, and workers.

Once it is established, each agency has client groups that it serves. Client groups are the individuals and groups who work with the agency and are most affected by its decisions. The client groups of the Department of Defense, for example, include the defense contractors who make weapons and supplies for the armed forces. The client groups of the Department of Agriculture are largely the farmers and others in the business of agriculture.

Client groups often lobby both Congress and the agency itself for more programs and services. Sometimes competition develops. If business leaders can have "their" people in the Commerce Department, labor leaders want "their" people in the Labor Department to make sure they get their "fair share."

The Nature of Bureaucracy Another reason for the increase in the number of federal agencies is that the country's needs change. Once created, however, federal agencies almost never die. They seem to exist for their own sake. Several years ago Congress created the Federal Metal and Non-Metallic Safety Board of Review. A bureaucrat named Jubal Hale was appointed as its director. The board, however, never received any cases to review. As a result, Hale had no work to do. He spent the next four years reading and listening to phonograph records in his office. Finally, he suggested the agency be abolished, and it was.

Former president Ford put it this way:

One of the enduring truths of the nation's capital is that bureaucrats survive. Agencies don't fold their tents and quietly fade away after their work is done. They find something new to do. 77

-Gerald Ford

These observations are what led to reform. President Clinton's "reinventing government" and the Republican Congress's "Contract With America" both targeted government waste.

## **Influencing Bureaucratic Decisions**

The federal bureaucracy does not make public policy in isolation. The president, Congress, the courts, and client groups influence federal agencies as they conduct business.



**Economics and Expansion** Roosevelt's New Deal programs changed the federal government. The FDR Memorial in Washington, D.C., honors the president. Why did Roosevelt expand the government during the Depression?



**Influence of Congress** Bureaucrats are careful to build support with congressional committees that have authority over the agencies for which the bureaucrat works. Each cabinet department has liaison officers who help promote good relations with Congress. Liaison officers keep track of bills moving through Congress that might affect the agency, as well as responding to requests for information from lawmakers.

Congress uses two major tools to influence decision making in federal agencies—new legislation and the budget. Lawmakers can pass laws to change the rules or regulations a federal agency establishes or to limit an agency in some way. Sometimes Congress is successful in these attempts. In 1979, for example, the Internal Revenue Service ruled that donations to private schools were not tax deductible unless the schools enrolled a certain number of minority students. The ruling caused a great deal of controversy, and Congress overturned it with new legislation.

Congress's major power over the bureaucracy is the power of the purse. The nation's lawmakers control each agency's budget. They can add to or cut an agency's budget and, in theory at least, refuse to appropriate money for the agency. What happens more often, however, is that Congress can threaten to eliminate programs that are important to the agency.

Even the power of appropriation has limits as a way for Congress to influence agency decisions. Much of an agency's budget may be used for entitlement expenditures. These expenditures are for basic services already required by law, such as Social Security or pensions for retired government employees. Such services are almost impossible for Congress to cut. Agencies also have developed strategies for getting around possible budget cuts. When the agency sends in its budget, for example, it will underestimate the amount it needs for its entitlement expenditures. At the same time, it will ask for full funding for other parts of its program that it does not want to cut. If the agency runs short of money for entitlements midway through the year, Congress must pass a supplemental appropriation to cover the shortage. If budget cuts must be made, agencies may sometimes target their cuts in the districts or states of key members of Congress who have the power to get budget cuts restored. In 1975, for example, Congress said it

# We the People

**Making a Difference** 

#### **Heidi Landgraf**



DEA badge

earing the words, "My name is Heidi Landgraf. I'm an agent with the DEA," two drug cartel bosses stared in disbelief. This time they were the victims—of a Drug Enforcement Administration sting operation. Heidi Landgraf was at the center of Operation Green Ice, playing the part of a drug lord's daughter. Her two-year performance won Landgraf a 1993 DEA Administrator's Award. As one of more than

100 federal agents involved in the worldwide operation, she helped police in the United States and six other countries arrest a total of 140 suspected criminals and seize about \$50 million. Landgraf's identity

as Heidi Herrera was created carefully with tax returns, Visa cards, and a passport. Although she was always under the watchful eyes of fellow agents, she was in constant danger. From a phony business location Landgraf collected cash from major drug dealers across the country and laundered it through banks. After two years of collecting evidence, the DEA scheduled the "take down."

Because her face and name appeared in press accounts of the operation when the news broke, Landgraf had to give up her work as an undercover agent. She continued to work within the DEA, however, in media relations and drug prevention education.



wanted to reduce the budget for Amtrak, the federal agency that operates passenger trains in the United States. Amtrak almost immediately announced plans to comply with the reduced budget by cutting vital passenger service in the districts of key congressional leaders. The announcements had their desired effect: these leaders succeeded in restoring most of the Amtrak funds.

#### The Influence of the Courts

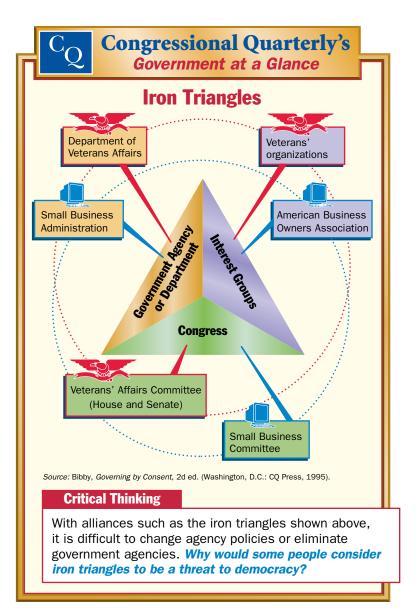
Federal courts do not actively seek to influence the federal bureaucracy. The courts, however, can have an important impact on policy making. The Administrative Procedures Act of 1946 allows citizens directly affected by the actions of federal agencies to challenge those agencies' actions in court. A federal court may issue an **injunction**—an order that will stop a particular action or enforce a rule or regulation.

**Success in Court Cases** While the courts can have a real impact on the bureaucracy, citizens have not had much success in court cases against the bureaucracy. One study shows that the courts do not usually reverse the decisions of federal regulatory commissions. For example, the Federal Power Commission and the Federal Trade Commission have won 91 percent of the cases they have ar-

gued before the Supreme Court. The National Labor Relations Board and the Internal Revenue Service have won 75 percent of their cases.

# **The Influence of Client Groups**

As stated earlier, each agency has client groups. The Department of Education spends much of its time dealing with state and local school administrators. The Food and Drug Administration works closely with major drug companies. The Commerce Department identifies with and promotes business interests.



The Department of Labor has a similar relationship with labor unions.

Client groups often attempt to influence agency decisions through lobbyists in Washington, D.C. These lobbyists work to reach agency officials. Lobbyists may testify at agency hearings, write letters, keep track of agency decisions, and take other steps to support their groups' interests.

**Iron Triangles** Congressional committees, client groups, and a federal department or agency often cooperate closely to make public policy. When agencies, congressional committees, and



client groups continually work together, such cooperation is called an **iron triangle**, because together the three groups have the necessary resources to satisfy each other's needs. The adjective *iron* is used because the relationship is so strong that it is often difficult for other individuals and groups outside the triangle to influence policy in the area.

Public policy toward veterans' affairs is an example of an iron triangle. The Department of Veterans Affairs (VA) provides important services such as hospital care, but the VA needs resources to continue offering such services to veterans. Lawmakers on congressional committees responsible for veterans' affairs supply the VA with money but need electoral support to remain in office. Congressional committees also need political support to win internal struggles for power in Congress. Client groups, such as the American Legion, provide the political support that the lawmakers need to remain in office. Client groups in turn need the VA's goods and services to satisfy the demands of their members. It is the working combination of these three groups that basically determines the policy of the national government toward veterans. Similar iron triangles operate in many policy areas such as agriculture, business, labor, and national defense.

People often move from one side of the triangle to another. In the area of national defense, for example, a general in the Department of Defense

may retire and become a Washington lobbyist for a defense contractor that sells weapons to the Department of Defense. A staff member of the Senate Armed Services Committee may leave Congress and go to work in the Defense Department. Later, the same person may take a job with a defense contractor.

Many critics believe that because iron triangles allow interest groups undue influence outside the control of the executive branch, Congress should pass laws to regulate them.

**Agencies Influence One Another** Interactions among agencies also influence decisions and policy making in the bureaucracy. For example, rules made by the Occupational Safety and Health Administration about noise standards in factories may contradict regulations established by the Environmental Protection Agency. Decision makers in each agency may attempt to influence the others to accept their programs or rules. Often, such disputes are settled by interagency task forces or committees.

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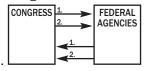
# GOVERNMENT

Student Web Activity Visit the United States Government: Democracy in Action Web site at gov.glencoe.com and click on Chapter 10-Student Web Activities for an activity about the bureacracy at work.

#### Section 3 Assessment

#### **Checking for Understanding**

- Main Idea Using a graphic organizer like the one below, identify two ways Congress influences federal agencies
  - and two ways federal agencies contribute to legislation.



- **2. Define** client group, liaison officer, injunction, iron triangle.
- **3. Identify** Social Security Act, Department of Veterans Affairs.
- 4. What are five reasons that the federal bureaucracy has assumed an important role in making public policy?

#### **Critical Thinking**

5. Making Inferences Do you think that iron triangles undermine or serve the public interest? Explain your answer.



**Separation of Powers** The government bureaucracy, in theory, carries out the policy decisions of Congress and the president. In practice, however, the bureaucracy also helps influence policy. Create a political cartoon depicting one of the ways in which the federal bureaucracy influences policy.





# **Using a Word Processor**

here are several ways to create a professional-looking printed document. You may use a word processor or a computer word processing software program.

#### **Learning the Skill**

After starting your word processing system, a blank document, sometimes called "Document 1," appears on the video screen. To begin composing your document, simply begin typing. To create a new document, click the *New* button on the standard toolbar. The following tips will help you format the document to make it look the way you want.

- **1.** As you type, the word processing program automatically "wraps" the text to the next line when the text reaches the right margin. Press ENTER if you wish to start a new paragraph.
- **2.** You may see paragraph marks (¶) and certain other symbols, called nonprinting characters, on your screen. You may want to turn this function off by clicking the *Show/Hide* button on your toolbar.
- **3.** To insert new text in a line, move the cursor to the point where you want the insertion to go and type the text.
- **4.** To move several lines of text, select the text using the drag method and click the *Cut* button on your toolbar. Then position your cursor in the location that you want to move the cut text and click *Paste*. If you drag or paste text to the wrong place, click the *Undo* button.
- **5.** Use a template to easily create professional documents.
- **6.** To learn about other word processing methods, read the user's manual or click on the *Help* button on the toolbar.



#### **Practicing the Skill**

Create a resume using a template. Choose *Style Gallery* from the Format menu and select the resume template. To adapt the resume template into a new document, first choose *New* from the File menu. Then select a template, select the resume option, and press OK. Replace the existing text with your own information. Save your resume.

#### **Application Activity**

Create a one- to two-page professional-looking document using the subject of Chester A. Arthur's election or presidency. For example, you might choose to create a campaign brochure for Arthur or a newspaper clipping that details the Pendleton Act.



# **Assessment and Activities**

CLICK HERE



**Self-Check Quiz** Visit the *United States Government:* Democracy in Action Web site at gov.glencoe.com and click on Chapter 10-Self-Check Quizzes to prepare for the chapter test.

# **Reviewing Key Terms**

Choose the letter of the correct term or concept below to complete the sentence.

- a. injunction
- **b.** liaison officer
- **c.** government corporation **h.** embassy
- d. procurement
- e. client group
- f. iron triangle
- g. bureaucrat
- i. spoils system
- j. deregulate
- 1. An individual who works for the federal government is a .
- 2. Before the civil service system, many people got government jobs through the . .
- 3. Some people work for a \_\_\_\_\_, such as the Postal Service.

# Current Events JOURNAL

Bureaucratic Action Choose one of the independent agencies or executive departments discussed in this chapter. Use the Readers' Guide to Periodical Literature or other research materials to find out the current activities of the agency or the executive department you chose. Summarize your findings in the form of a newspaper article.

- 4. Some people lost their jobs when Congress began to the Civil Aeronautics Board and cut down on its procedures.
- 5. An \_\_\_\_ is the office of an ambassador in a foreign country.
- **6.** A \_\_\_\_\_, such as a special-interest group, is a key factor in influencing public policy.
- 7. In some cases, a court will issue an to stop a particular action.
- 8. To make regulatory agencies more efficient, Congress repealed 300 laws that had made \_\_\_\_\_, or purchasing of materials, complicated.
- **9.** A helps promote good relations among Congress and cabinet departments.
- **10.** A cooperative effort on the parts of congressional committees, a federal agency, and client groups is called an . .

## **Recalling Facts**

- 1. What three types of agencies make up the federal bureaucracy?
- 2. What are some independent agencies that are government corporations?
- 3. What is the special role of independent regulatory commissions in the federal bureaucracy?
- **4.** Why was the civil service system created?
- **5.** To what three job benefits are federal workers entitled?
- **6.** What event led to the practice of government assisting the ill and the neglected?
- 7. How do client groups attempt to influence the decisions that government agencies make?

# **Understanding Concepts**

- 1. Public Policy Is evaluating existing programs is important in a society with a large bureaucracy? Support your opinion.
- 2. Civic Participation With every new administration, new people are named to hold top management positions within the federal bureaucracy. Explain the advantages and disadvantages of this system.
- 3. Separation of Powers Should bureaucrats be allowed to actually help determine public policy? Support your answer.

CONTENTS

# Chapter 10

## **Critical Thinking**

- 1. Making Inferences Why might strong presidents rely less on their cabinets' advice than weak presidents?
- 2. Synthesizing Information Use a skeleton outline like the one below to organize a paper that would explain why the federal bureaucracy has grown. Use facts from the text and charts in Chapter 10.

The Growth of the Federal Bureaucracy

- I. Its size
  - A.
  - B.
- II. Its Complexity
  - A.
  - B.
- C.

# **Interpreting Political Cartoons Activity**



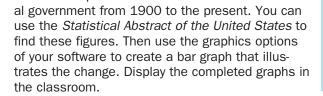
- 1. What is the warning each of these people is giving?
- 2. How do you think these people's attitudes affect the size of the federal bureaucracy?
- 3. What governmental dilemma does this cartoon emphasize?

# **Cooperative Learning Activity**

Comparing Agencies Organize into groups. Make a list of the ways in which any four executive departments or agencies of the federal bureaucracy affect your daily life. Rank each of the influences in order of their importance. Rejoin the class and compare lists. Which department or agency was mentioned most often?

## **Technology Activity**

**Using Software** Search the Internet or your library to find out the increase in the number of people working for the executive departments of the feder-



# **Skill Practice Activity**

Using a Word Processor Imagine you are a member of a client group that is attempting to influence an agency of the federal bureaucracy. Using a word processor program, create a professional-looking brochure that you would use to publicize your issues and concerns.

# **Participating in Local Government**

You can find jobs within the government bureaucracy in all levels of government. Using your local library or the Internet, research the different types of government

jobs in your community. Find out the procedure for applying for these jobs, the qualifications required, and the salaries. Present your findings in an illustrated pamphlet.

